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		Application Number	09/939,784
		Filing Date	08/28/2001
		First Named Inventor	Zhengchen Yu <b>RECEIVED</b>
		Group Art Unit	2633 <b>SEP 06 2002</b>
		Examiner Name	Technology Center 2600
Total Number of Pages in This Submission	5	Attorney Docket Number	033337-0125

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Corvis Corporation Darren Wolf	
Signature		21398 PATENT TRADEMARK OFFICE
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Zhengchen Yu, et al.

Title: Terminals Having Sub-Band Substitute Signal Control In Optical Communication Systems

Serial No. : 09/939,784 :Docket No. 033337-0125  
 Filing Date : Aug. 28, 2001 :  
 Group No. 2600 :Art Unit 2633 :Examiner

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SEP 06 2002

Technology Center 2600

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Sir:

Applicant, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advises the United States Patent and Trademark Office of the references listed on the accompanying Form PTO 1449 "Information Disclosure Statement by Applicant". A copy of the references cited herein is included. No fee is submitted herewith because this Statement is being filed before the mailing of the first Office Action.

Applicant notes that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b)."

Respectfully submitted,

Darren Wolf  
Registration No. 36,310

Corvis Corporation  
7015 Albert Einstein Drive  
Columbia, MD 21046-9400  
T (443) 259-4046